



## COVERED WARRANT PURCHASE REGISTRATION FORM

To: **Ho Chi Minh City Securities Corporation**

### I. CLIENT INFORMATION

1. Fullname (Private Client/  
Institutional Client)
2. Account Type
4. STC Issued date
6. Nationality
8. Address
9. Email

<input type="checkbox"/> Foreign Retail	3. STC	
<input type="checkbox"/> Foreign Institution		
	5. Issuing authority	VSD
	7. Phone Number	
	10. Fax:	

### II. INFORMATION FOR WARRANT PURCHASE REGISTRATION

1. Warrant name
2. Underlying code
3. Issuance price
4. Registered volume
5. Total registered value
6. Notice of Warrant Issuance No.


### III. CUSTODY ACCOUNT INFORMATION

1. Account name
2. Account number
3. Opened at


### IV. BANK ACCOUNT INFORMATION

1. Account name
2. Account number
3. Bank Name


### V. CONTACT INFORMATION

1. Address
2. Email
3. Phone number
4. Receiver's name


### VI. NOTICE OF PURCHASE REGISTRATION RESULTS

**The deposits for unsuccessful registered volume will be refunded to Clients**

*Tentative time: According to Notice of Warrant issuance*

**The Client confirms that the Client has read, understood and agreed:**

*HSC DOES NOT commit THAT all of the above registered volumes are 100% executed. In case the total subscribed volume of all customers exceeds the total volume of warrants offered by HSC, HSC will make allocations according to the method described in the Prospectus.*

*In case the maximum amount of subscriptions cannot be purchased, the deposit for unsuccessful registered volume will be reimbursed to Clients via registered Bank Account (Section IV).*

*This registration takes effect from the date when the client has fully transferred 100% cash for their warrant purchase registration and is confirmed by HSC.*

#### CLIENT'S CONFIRMATION

(Client confirms that the information  
in this registration form is accurate and complete)

#### HO CHI MINH CITY SECURITIES CORPORATION

Date: ..... / ..... / .....